



DOCKET NO.: MSFT-0260/158416.02

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. DeMello, Attila Narin, Yoram

Yaacovi

Application No.: 09/836,524

Filing Date: April 17, 2001

For: METHOD FOR AUTHENTICATING AND SECURING INTEGRATED
BOOKSTORE ENTRIES

Confirmation No.: 6581

Group Art Unit: 3621

Examiner: Agwumezie, Charles C

DATE OF DEPOSIT: November 18, 2005

I HEREBY CERTIFY THAT THIS PAPER IS BEING
DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID,
ON THE DATE INDICATED ABOVE AND IS
ADDRESSED TO THE COMMISSIONER FOR PATENTS,
P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

TYPED NAME: Susan C. Murphy
REGISTRATION NO.: 46,221

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified

BEST AVAILABLE COPY

DOCKET NO.: MSFT-0260/158416.02 - 2 -

PATENT

application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

Certification in Accordance with § 1.97(e) is attached; or

The fee of \$180.00 as set forth in § 1.17(p) is attached.

In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

Copies of reference numbers 40, 41, 52 thru 56, 67 thru 71, 82, 83, 94, 95 listed on the attached Form PTO-1449 are enclosed herewith.

Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for

BEST AVAILABLE COPY

DOCKET NO.: MSFT-0260/158416.02 - 3 -

PATENT

which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: November 18, 2005

Susan C Murphy
Susan C. Murphy
Registration No. 46,221

WOODCOCK WASHBURN LLP
One Liberty Place - 46th Floor
Philadelphia, PA 19103
Telephone: (215) 568-3100
Facsimile: (215) 568-3439

© 2005 WW

BEST AVAILABLE COPY

**Form PTO-1449 Modified**

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No. MSFT-0260/158416.02	Application No. 09/836,524
Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
Filing Date April 17, 2001	Group 3621
Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	32	6,415,270 B1	07/02/02	Rackson, et al.	705	37
	33	6,195,667 B1	02/27/01	Duga, et al.	715	513
	34	6,324,288 B1	11/27/01	Hoffman, Jeffrey D.	380	249
	35	6,331,865 B1	12/18/01	Sachs, et al.	345	776
	36	5,530,235	06/25/96	Stefik, et al.	235	492
	37	5,625,693	04/29/97	Rohatgi, et al.	380	23
	38	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	39	2001/0011238 A1	08/02/01	Ebergard, et al.	705	27

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	40	WO 98/44402	10/08/98	PCT		
	41	WO 99/45491	09/10/99	PCT		

EXAMINER**DATE CONSIDERED**

Form PTO-1449 Modified		Docket No. MSFT-0260/158416.02	Application No. 09/836,524
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
U.S. Department of Commerce Patent and Trademark Office		Filing Date April 17, 2001	Group 3621
		Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	42	2002/0002611 A1	01/03/02	Vange, Mark	709	223
	43	5,809,145	09/15/98	Slik, et al.	380	25
	44	5,864,620	01/26/99	Pettitt	380	4
	45	6,088,717	07/11/00	Reed, et al.	709	201
	46	6,226,618 B1	05/01/01	Downs, et al.	705	1
	47	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	48	6,425,017 B1	07/23/02	Dievendorff, et al.	709	315
	49	6,606,604 B1	08/12/03	Dutta, Rabindranath	705	26
	50	6,629,138 B1	09/30/03	Lambert, et al.	709	224
	51	6,718,361 B1	04/04	Basani, et al.	709	201

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	52	EP 0 795 809 A2	09/17/97	EPO		
	53	WO 00/08909	02/24/00	PCT		
	54	WO 00/21239	04/13/00	PCT		
	55	WO 00/75760 A1	12/14/00	PCT		
	56	WO 99/63416	12/09/99	PCT		

EXAMINER	DATE CONSIDERED
-----------------	------------------------

Form PTO-1449 Modified		Docket No. MSFT-0260/158416.02	Application No. 09/836,524
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
U.S. Department of Commerce Patent and Trademark Office		Filing Date April 17, 2001	Group 3621
		Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	57	5,509,070	04/16/96	Schull	380	4
	58	5,708,780	01/13/98	Levergood, et al.	395	200.12
	59	5,724,425	03/03/98	Chang, et al.	380	25
	60	5,883,955	03/16/99	Rønning	380	4
	61	5,933,498	08/03/99	Schneck, et al.	380	4
	62	5,970,475	10/19/99	Barnes, et al.	705	27
	63	5,999,622	12/07/99	Yasukawa, et al.	380	4
	64	6,006,332	12/21/99	Rabne, et al.	713	201
	65	6,021,492	02/01/00	May, Gregory J.	713	200
	66	6,298,446 B1	10/02/01	Schreiber, et al.	713	201

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	67	EP 0 778 512 A2	06/11/97	EPO		
	68	EP 0 843 449 A2	05/20/98	EPO		
	69	WO 96/42041	12/27/96	PCT		
	70	WO 98/45768	10/15/98	PCT		
	71	WO 98/58306	12/23/98	PCT		

EXAMINER**DATE CONSIDERED**

Form PTO-1449 Modified		Docket No. MSFT-0260/158416.02	Application No. 09/836,524
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
U.S. Department of Commerce Patent and Trademark Office		Filing Date April 17, 2001	Group 3621
		Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	72	4,924,378	05/08/90	Hershey, et al.	713	201
	73	5,222,134	06/22/93	Waite, et al.	380	4
	74	5,734,823	03/31/98	Saigh, et al.	395	200.06
	75	5,734,891	03/31/98	Saigh	395	610
	76	5,784,609	07/21/98	Kurihara, A.	707	9
	77	5,983,273	11/09/99	White, et al.	709	229
	78	5,991,402	11/23/99	Jia, et al.	705	59
	79	6,029,046	02/22/00	Khan, et al.	725	31
	80	6,035,403	03/07/00	Subbiah, et al.	713	201
	81	6,067,582	05/23/00	Smith, et al.	710	5

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	82	WO 96/24092	08/08/96	PCT		
	83	WO 99/55055	12/28/99	PCT		

EXAMINER	DATE CONSIDERED
-----------------	------------------------

Form PTO-1449 Modified		Docket No. MSFT-0260/158416.02	Application No. 09/836,524
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
U.S. Department of Commerce Patent and Trademark Office		Filing Date April 17, 2001	Group 3621
		Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	84	2002/0069265 A1	06/06/02	Bountour, et al.	709	219
	85	5,654,746	08/05/97	McMullan, Jr. et al.	725	29
	86	5,666,411	09/09/97	McCarty, Johnnie C	705	51
	87	5,742,677	04/21/98	Pinder, et al.	380	242
	88	5,359,659	10/25/94	Rosenthal	380	4
	89	5,991,399	11/23/99	Graunke, et al.	380	4
	90	6,049,612	04/11/00	Fiedler, et al.	380	44
	91	4,668,169	08/18/87	Joshi, Bhagirath S.	364	200
	92	6,449,645 B1	09/10/02	Nash, Kenneth L	709	224
	93	5,765,152	06/09/98	Erickson, J.S.	707	9

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	94	WO 99/01815	01/14/99	PCT		
	95	WO 99/26123	05/27/99	PCT		

EXAMINER	DATE CONSIDERED

Form PTO-1449 Modified		Docket No. MSFT-0260/158416.02	Application No. 09/836,524
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
U.S. Department of Commerce Patent and Trademark Office		Filing Date April 17, 2001	Group 3621
		Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	96	4,405,829	09/20/83	Rivest, et al.	178	22.1
	97	4,827,508	05/02/89	Shear	380	4
	98	4,977,594	12/11/90	Shear	380	4
	99	5,050,213	09/17/91	Shear	380	25
	100	5,191,573	03/02/93	Hair	369	84
	101	5,410,598	04/25/95	Shear	380	4
	102	5,629,980	05/13/97	Stefik, et al.	380	4
	103	5,634,012	05/27/97	Stefik, et al.	395	239
	104	5,638,443	06/10/97	Stefik, et al.	380	4
	105	5,675,734	10/07/97	Hair	395	200.01

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER	DATE CONSIDERED
-----------------	------------------------

Form PTO-1449 Modified		Docket No. MSFT-0260/158416.02	Application No. 09/836,524
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
U.S. Department of Commerce Patent and Trademark Office		Filing Date April 17, 2001	Group 3621
		Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	106	5,715,403	02/03/98	Stefik	395	244
	107	5,845,281	12/01/98	Benson, et al.	707	9
	108	5,892,900	04/06/99	Ginter, et al.	395	186
	109	5,910,987	06/08/99	Ginter, et al.	380	24
	110	5,915,019	06/22/99	Ginter, et al.	380	4
	111	5,917,912	06/29/99	Ginter, et al.	380	24
	112	5,920,861	07/06/99	Hall, et al.	707	9
	113	5,940,504	08/17/99	Griswold	380	4
	114	5,943,422	08/24/99	Van Wie, et al.	380	9
	115	5,949,876	09/07/99	Ginter, et al.	380	4
	116	5,982,891	11/09/99	Ginter, et al.	380	4

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
EXAMINER				DATE CONSIDERED		

Form PTO-1449 Modified	Docket No. MSFT-0260/158416.02	Application No. 09/836,524
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)	Applicant Marco A. DeMello, Attila Narin, Yoram Yaacovi	
U.S. Department of Commerce Patent and Trademark Office	Filing Date April 17, 2001	Group 3621
	Confirmation No. 6581	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	117	Shamir, A. et al., "Playing Hide and Seek with Stored Keys", <i>Third International Conference, 1999</i> , franklin, M. (ed), 118-124
	118	Auchsmith, D., "Tamper Resistant Software: An Implementation", <i>First International Workshop, Anderson, Ross (ed.,) 1996</i> , 317-333
	119	Jaeger, T., "Flexible Control of Downloaded Executable Content", <i>ACM Transactions on Information and System Security, 1999, 2(2)</i> , 177-228

EXAMINER**DATE CONSIDERED**